

# 15 request for refund 17173553898 4/5/04° 18

Atty. Docket No. 13935-NN016

PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Mohammad J. ARSHAD, ET AL.	)	Examiner: Vernal Brown
Appln. No. 09/752,009	)	Art Unit: 2635
Filing Date: December 28, 2000	;	Confirm. No.: 9068
Title: TRANSPONDER COMMUN- ICATION AND CONTROL	; ) ;	April 5, 2004
SYSTEM FOR A VEHICLE	)	

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### REQUEST FOR REFUND

Dear Sir:

As shown by the attached Patent Application Fee Determination Record (Form PTO/SB/06), the September 4, 2003, Amendment resulted in 23 claims, of which nine (9) were independent—an increase of five (5) independent claims. However, Applicants' representative mistakenly paid \$504.00 by check, and that payment was the fee for an additional *six* (6) independent claims.

Therefore, Applicants request the difference of \$84.00 (the fee in fiscal-year 2003 for an additional independent claim) to be credited to Deposit Account No. 14-0780.

Applicants' undersigned attorney may be reached with any questions in our New Holland, Pennsylvania office by telephone at (717) 355-4954.

Respectfully submitted,

Attorney for Applicants

Collin A. Webb Reg. No. 44,396

CNH America LLC Intellectual Property Law Dept. Mail Stop 641 500 Diller Avenue P.O. Box 1895 New Holland, Pennsylvania 17557-0903

PTO/SB/06 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					)	1 ''	olication or D 7 <b>52,009</b>	ocket					
CLAIMS AS FILED - PART I				SMA	SMALL ENTITY OR			OTHER THAN						
FOR			(Column 1)	(Column 2)					1	SMALL EN				
FOR		NUMBI	ER FILED	NUMBER EXTRA		I KA	TE	FEB		KAIB	FBE			
	SIC FEE CFR 1.16(a))						·			s	OR	,	\$. <u>710</u>	
	AL CLAIMS CFR 1.16(c))	23	mimus	20 = * 3		x \$_			OR	x \$18 _	54			
	PENDENT CLAIN CFR 1.16(b))	AS 4	minus	3 =	+	1		x	_=		OR	x <u>80</u> =	80	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))				+_	_ =		OR	+0 =	0					
* If the difference in column 1 is less then zero, enter "O" in column 2				то	TAL		OR	TOTAL	\$844	l				
CLAIMS AS AMENDED - PART II (Column 1) (Column 2) (Column 3)				SMA	ALL E	NTTTY	OR	OTHER TH						
,		CLAIMS		1	GHEST			] [		ADDI-			ADDI-	
IT A		REMAINING AFTER			UMBER VIOUSLY	Į.	ESENT XTRA	RA	TE	TIONAL		RATE	TIONAL	l
É		AMENDMENT		P.A	ID FOR			<b>↓</b>		FEE			FEE	l
Ď	Total (37 CFR 1.16(c))	* 23	Minus	**	23	=	0	x \$ _	=		OR	x \$ <u>18</u> =	0	
AMENDMENT	Independent (37 CFR 1.16(b))	* 9	Minus	***	4	=	5	×	=		OR	x <u>84</u> =	420	
¥	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))		]   <sub>+</sub> _	_ "		OR	+0 =	0	/					
			TO ADDIT. I	TAL		OR	TOTAL'	\$420	Þ					
		(Column 1)  CLAIMS	T .	<del>`</del>	lumm 2) GHEST	(00	lumn 3)	וווסטגע	26			DOMETEL		l
В		REMAINING		וא	UMBER	PR	ESENT		ιΤΕ	ADDI- TIONAL		RATE	ADDI- TIONAL	l
E		AFTER AMENDMENT		1	VIOUSLY JID FOR	E	XTRA		1112	FEE		14172	FEE	l
AMENDMENT	Total (37 CFR 1.16(c))	* 21	Minus	**	23	=	0	x \$ _			OR	x \$ <u>18</u> ⇒	0	
ŒN	Independent (37 CFR 1.16(b))	* 3	Minus	***	9	=	0	× _			OR	x <u>86</u> =	0	
¥	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				+			OR	+0 =	0				
	THE THE BENT	ATION OF MULTIP						,						1
	THO THE SERVICE		E DEI ENDEN	-					TAL		OR	TOTAL	\$0	ł
	TAGE TRESSITE	(Column 1)		<del></del> _	lum 2)	(Col	lumn 3)	TO ADDIT. I				TOTAL DDIT. FEE	\$0	
2.	THE TREESERVE			Н	lum 2) GHEST UMBER		lumn 3)	ADDIT. E	EE	ADDI-		DDIT. FEE	ADDI-	
SNT C	The state of the s	(Column I)  CLAIMS  REMAINING  AFTER		HI NI PRE	GHEST UMBER VIOUSLY	PR		ADDIT. E		ADDI- TIONAL FEE				
MENT C	Total	(Column 1)  CLAIMS  REMAINING		HI NI PRE	GHEST UMBER	PR E	ESENT XTRA	ADDIT. E	TE	TIONAL	A .	RATE	ADDI- TIONAL FEE	
ENDMENT C	Total (37 CFR 1.16(c))	(Column I)  CLAIMS REMAINING AFTER AMENDMENT	Minus	HI NI PRE PA	GHEST UMBER VIOUSLY	PR E	ESENT XTRA 0	ADDIT. E	TE	TIONAL	OR	RATE  x \$18 =	ADDI- TIONAL FEE	
AMENDMENT C	Total	(Column 1)  CLAIMS REMAINING AFTER AMENDMENT		HI NI PRE PA	GHEST UMBER VIOUSLY	PR E	ESENT XTRA	ADDIT. E	TE	TIONAL	OR OR	RATE  x \$18 =  x86 =	ADDI- TIONAL FEE 0	
AMENDMENT C	Total (37 CFR 1.16(c)) Independent (37 CFR 1.16(b))	(Column I)  CLAIMS REMAINING AFTER AMENDMENT	Minus Minus	HII NI PRE PA	GHEST UMBER VIOUSLY UD FOR	PR E =	ESENT XTRA 0	ADDIT. E	TE	TIONAL	OR	RATE  x \$18 =  x86 =  +0 =	ADDI- TIONAL FEE	
AMENDMENT	Total (37 CFR 1.16(c)) Independent (37 CFR 1.16(b)) FIRST PRESENT.	(Column 1)  CLAIMS REMAINING AFTER AMENDMENT  *  ATION OF MULTIP	Minus Minus LE DEPENDEN	HI NI PRE PA	GHEST UMBER VIOUSLY IID FOR I (37 CFR 1.16(d)	PR E = =	ESENT XTRA 0	RA x \$ _ +	TAL	TIONAL	OR OR OR	RATE  x \$18 =  x86 =	ADDI- TIONAL FEE 0	
AMENDMENT	Total (37 CFR 1.16(e)) Independent (37 CFR 1.16(b)) FIRST PRESENT. The entry in column the "Highest Numbe the "Highest Numbe	(Column 1)  CLAIMS REMAINING AFTER AMENDMENT  *  ATION OF MULTIP	Minus  Minus  LE DEPENDEN  Ty in column 2, v  IN THIS SPAC	HI NO PRE PA ***  ***  T CLAIM  vrite "0" i CE is less CE is less	GHEST UMBER VIOUSLY AID FOR  1 (37 CFR 1.16(d) n column 3. than 20, enter "than 3, enter "3	PR E = = )	ESENT XTRA 0 0	RA  x \$  to ADDIT. I	TAL	TIONAL	OR OR OR	RATE  x \$18 =   x86 =   +0 =   TOTAL	ADDI- TIONAL FEE  0 0	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Ŧ

PTO/SE/21 (08-03)

Approved for use through 07/31/2008, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, n	o persons are required	d to respond to a collection of informa	tion unless it displays a valid OMB control number.					
TRANSMITTAL		Application Number	09/752,009	EIVED				
TRANSMITT	AL	Filing Date	December 28, 2000 CENTRAL	FAX CEN				
FORM		First Named Inventor		0 5 200				
(to be used for all correspondence after initial filing)		Art Unit	2635	10				
		Examiner Name	Vernal Brown					
Total Number of Pages in This Submission	n 18	Attorney Docket Number	13935-NN016	)				
	ENCLOS	URES (check all that apply	)	1				
Fee Transmittal Form	Drawing	(s)	After Allowance communication to Group					
Fee Attached	Licensin	g-related Papers	Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Provision	o Convert a nal Application	Proprietary Information	! !				
Affidavits/declaration(s)		Attomey, Revocation of Correspondence	Status Letter	}				
Extension of Time Request	Terminal	Disclaimer	Other Enclosure(s) (please identify below):					
Express Abandonment Request	Request	for Refund	RCE; Request for Refund; and Request for Consideration of Previously Filed IDS					
Information Disclosure Statement	CD, Nun	nber of CD(s)	Treviously Fried 103					
Certified Copy of Priority								
Document(s)  Response to Missing Parts/	Remarks	lle to (703) 872-9306						
Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
•		•						
SIGNATUI	RE OF APPLIÇA	NT, ATTORNEY, OR AGEN	Τ					
Firm Collin A. Webb - Reg. No. 44,396								
or Individual name								
Signature Signature								
Date April 5, 2004								
I hereby certify that this correspondence is be	ing facsimile transm							
Typed or printed name Sandra J. Mow			· · · · · · · · · · · · · · · · · · ·					
Signature	10-0-00	Date	April 5, 2004					
This collection of information is required by 37	CER 1.5 The info	pation is required to obtain or o	etain a benefit by the public which is to file (and					

This collection of Information is required by 37 CFR 1.5 The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TDANGMIT

Complete if Known

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OM/8 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OM/8 control number.

			Application Number			09/752,009				
for FY 2004		Filing Date			De	December 28, 2000				
Effective 10/01/2003. Patent fees are subject to annual revision	on.	First Named Inventor			entor M	Mohammad J. ARSHAD, ET AL.				
Applicant claims small entity status. See 37 CFR 1.2	27	Examiner Name				Vernal Brown				
		Art (	Jnit		26	35				
TOTAL AMOUNT OF PAYMENT \$880		Atto	ney D	ocket	No. 13	935-NN016				
METHOD OF PAYMENT (check all that apply)				FE	E CALC	ULATION (c	continued)			
Check Credit card Money Other None	3. A	ודומם	DNAL			<u> </u>				
Deposit Account:	Large	Entity_	Smal	Entity	_					
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Descript		Fee Paid		
Account Number 14-0780	1051 1052	130	2051		_	- late filing fee o				
Deposit		50	2052	25	Surchange -	· late provisiona	al filing fee or cover			
Account Name New Holland North America, Inc.	1053	130	1053	130	Non - Engli	sh specification	1			
The Director is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a	request for exp	parte reexemination			
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920°	Requesting action	publication of	SIR prior to Examiner			
Charge any additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840°	Requesting	publication of	SIR after Examiner			
Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	action Extension 6	or reply within f	iret month			
to the above-identified deposit account.	1251	420	2252	210		or reply within s		110.00		
FEE CALCULATION	1253	950	2253	475		or reply within ti				
1. BASIC FILING FEE	1254		2254	740		or reply within fo				
Fee Fee Fee Fee Description	1255		2255	1,005		or reply within fi				
Code (\$) Code (\$) Fee Paid	1401	330	2401	-	Notice of A					
1001 770 2001 385 Utility filing fee	1402	330	2402			fin support of a	an appeal			
1002 340 2002 170 Design filing fee 1003 530 2003 285 Plant filing fee	1403	290	2403	145	Request for	oral hearing				
1004 770 2004 385 Reissue filing fee	1451	1,510	1451	1,510	Petition to li	nstitute a public	use proceeding			
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to n	evive - unavoid	able			
SUBTOTAL (1)	1453	1,330	2453	665	Petition to n	evive - unintent	ional ·			
	1501	1,330	2601	665	Utility issue	fee (or reissue)	)			
2. EXTRA CLAIM FEES FOR UTILITY AND Fee from	1502	480	2502	240	Design Issu	e fee				
Extra Claims below Fee Paid  Total Claims 0 X = 0.00	1503	640	2503	320	Plant Issue	f <del>ee</del>				
Independent3** = 0 X = 0.00	1460	130	1460	130	Petitions to	the Commissio	ner			
Claims Multiple Dependent =	1807	50	1807	50	Processing	fee under 37 C	FR § 1.17(q)			
Large Entity   Small Entity	1806	180	1806	180	Submission Statement	of Information	Disclosure			
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	8021	40	8021	40	Recording e	ach patent ass er of properties	ignment per property	·		
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a sub	mission after fir	•			
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	(37 ČFR § For each ad		on to be examined			
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims	1801	770	2801		(37 CFR §	1.129(b))	mination (RCE)	770 00		
over original patent	1802	900	1802			expedited exar		770.00		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		er fee (s			of a design (	application				
SUBTOTAL (2) \$0.00		(	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	-						
**or number previously paid, if greater, For Reissues, see above	*Rec	luced by	y Basic	Filing F	Fee Paid	SUBTO	TAL (3)	\$880.00		
SUBMITTED BY						Complete	(if applicable)			
Name (Print/Type)		Registra Attorney			44,396	Telephone		954		
Signature Signature		-	, gorty			Date	April 5, 200	<b>H</b>		
	/* V									

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of Information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Palent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



# RECEIVED CENTRAL FAX CENTER

APR 0 5 2004

Atty. Docket No. 13935-NN016



PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Mohammad J. ARSHAD, ET AL.	;	Examiner: Vernal Brown
Appln. No. 09/752,009	• )	Art Unit: 2635
Filing Date: December 28, 2000	; )	Confirm. No.: 9068
Title: TRANSPONDER COMMUN-	)	April 5, 2004
ICATION AND CONTROL	:	
SYSTEM FOR A VEHICLE	)	

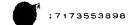
Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## REQUEST FOR CONSIDERATION OF PREVIOUSLY FILED INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants filed an Information Disclosure Statement on November 14, 2003, well before the mailing date of the December 5, 2003, Office Action. However, the Office Action does not indicate that the Information Disclosure Statement has been received and considered.

Therefore, Applicants request that the Examiner indicate his consideration of the Information Disclosure Statement by initialing the row containing the cite for each document on the Form PTO/SB/08a, which was attached to the Information Disclosure Statement, and signing and dating the Form.



Applicants' undersigned attorney may be reached in our New Holland,

Pennsylvania office by telephone at (717) 355-4954.

CNH America LLC Intellectual Property Law Dept. Mail Stop 641 500 Diller Avenue P.O. Box 1895 New Holland, Pennsylvania 17557-0903 Respectfully submitted,

Attorney for Applicants

Collin A. Webb Reg. No. 44,396